

**11419NAT**

## **Graduate Certificate in Compliance and Risk Management**

The 10980NAT Graduate Certificate in Compliance and Risk Management develops senior-level compliance leaders through a principles-based program combining expert-led learning, masterclasses, practical activities and assessments to strengthen leadership, culture and organisational performance.



NATIONALLY RECOGNISED  
TRAINING

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Australian Compliance Institute  
RTO ID: 91640  
Phone: 61 2 9290 1788  
Email: [education@compliance.org.au](mailto:education@compliance.org.au)  
Website: [compliance.org.au](http://compliance.org.au)  
Address: Level 10, 440 Collins Street, Melbourne, VIC 3000

*The 10980NAT Graduate Certificate in Compliance and Risk Management has been replaced by the 11419NAT Graduate Certificate of Compliance and Risk Management, effective 5 February 2026*

# 11419NAT Graduate Certificate in Compliance and Risk Management

Release #1 | Release Date: 01/12/2026

## Course Description

This course is intended to provide skills and knowledge associated with:

### Change Management: Ethics, Culture Values and Change

- identifying customer and stakeholder expectations
- developing an engagement strategy to secure the commitment of power/authority figures in an organisation
- stating the key values that underpin an organisation's compliance framework
- developing and documenting a compliance/ethical framework

### Governance, Risk and Compliance

- identifying governance requirements within a compliance plan
- recognising internal and external risks that affect compliance obligations in an organisation
- reviewing the alignment of a range of governance approaches within a risk-based compliance framework
- developing and documenting a risk management approach to a compliance plan

### Legal and Regulatory Compliance Risks

- reviewing the key requirements of selected laws and regulations
- identifying new or emerging requirements under selected laws and regulations
- drafting a brief for legal opinion
- applying one or more of the relevant rule sets

### Performance Measurement, Audit and Review

- listing what needs to be measured to achieve optimum compliance assurance
- identifying ways and means of conducting the listed measurements
- analysing a range of performance measurement data
- identifying improvement opportunities arising from a given data analysis
- applying performance measurement techniques

## Target Cohort

This course is intended for:

- Existing senior/experienced professionals (5+ years' experience)
- Existing governance, risk and compliance professionals aiming to move into more senior/leadership-level roles
- Existing workers seeking RPL/Credit transfer

## Entry Requirements

There are no entry requirements to study this course. However, this course requires learners to:

- Must be over the age of 18 years
- Have English language, literacy and numeracy skills at or above ACSF Level 3 and complete LLND assessment
- Have relevant prior training and/or employment (minimum of at least five years of work experience in a compliance, risk or governance-related role, with at least two years' experience in a senior or management position)
- Have access to a workplace to complete assessment tasks (or use a simulated workplace environment)

## Course Duration & Delivery

- Delivery Mode: Online
- Duration: up to 24 months
- Self-paced study hours: approximately 6 hours per week

## Assessment Information

Assessment methods may include:

- Written assessments
- Practical skills
- Case studies
- Projects/portfolio of evidence

## Resources to be provided by the VET Student

- A computer to access online learning materials and undertake online assessments  
- Reliable internet and have video recording capabilities to record and submit assessment. Including access to:

- Internet access through Google Chrome or other internet browsers
- MS Word, MS PowerPoint or equivalent applications
- Adobe Acrobat Reader
- A Unique Student Identifier (USI) that can be obtained at [www.usi.gov.au](http://www.usi.gov.au)

## Resources and services to be provided by the RTO

- A safe, inclusive and engaging learning environment
- Pre-enrolment processes to ensure the course is appropriate and suitable for VET Students' needs
- Access to LMS with personal secure login
- All Learning and Assessment resources
- Public liability Insurance Cover (for on- and off-site training)
- Risk assessed facilities, equipment and processes for safety and duty of care, including accessibility
- Policies and procedures to ensure and monitor delivery of high-quality services

## Course Fees

Fees (GST exempt)

- Member: \$5,700.00
- Non-Member: \$7,600.00

## Refund Policy

Refer to the Student Handbook for detailed terms and policies, including refund conditions and withdrawal procedures

## Support Services

All students have access to:

- LLND support
- Wellbeing and counselling referral
- Trainer support (via email, phone, or online platform)
- Accessibility options for learners with disability

## Recognition of Prior Learning (RPL) & Credit Transfer

All students may apply for RPL or Credit Transfer. More information is available in the Student Handbook or from Australian Compliance Institute and RTO Website address.

## Units of Competency

Code	Title
BSBAUD	Establish and manage compliance management systems
BSBLDR	Lead and manage organisational change
PSPGEN120	Provide strategic direction
PSPGEN135	Manage risk
PSPGEN136	Undertake enterprise risk management
PSPREG049	Manage investigations program
MSS407023	Quantitatively analyse impact of process changes
MSS407024	Prepare for and implement change
MSS407026	Lead a process to determine and solve root cause for a complex problem

**The RTO reserves the right to adjust elective units to meet training package requirements.**

Please refer to the Enrolment Form, for the terms and conditions of enrolment, which includes Enrolment and Selection; Training Guarantee; Course Fees, Payments and Refunds; Course Fees paid in Advance; Recognition of Prior Learning (RPL) or Credit Transfer.



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