



COMPLIANCE & RISK 101

One-day course
designed to help
professionals new
to Compliance & Risk

INTRODUCTION

This one-day course is designed to help professionals who may be new to their role understand the fundamentals of compliance and risk functions and take away skills that can immediately be applied to the work place and help participants move forward confidently in their role.

This course is also perfect for line one staff who are responsible for liaison with line two compliance and risk or are accountable for key obligation risks. Organisations have particularly found this course useful for an inhouse cohort to help the group understand how to work collaboratively with line two compliance and risk.

WHY STUDY WITH AUSTRALIAN COMPLIANCE INSTITUTE

ACI is the peak body for compliance, governance and risk professionals with over 2000 financial members and a further 4000 contacts with industry professionals. As a registered training organisation (RTO) and a respected association representing the views of thousands of members, ACI is the only institute in the Asia Pacific that offers accreditation and formally recognised qualifications dedicated to compliance.

IS THIS COURSE SUITABLE FOR ME?

Many professionals across a variety of roles will find some aspects of risk and/or compliance added to their job description as their role develops. Other times, they are called upon to work more closely with risk and compliance professionals within their organisations. If this sounds similar to your situation, this one day course will provide the skills and understanding to confidently take on more compliance and/or risk responsibilities or work more effectively with these functions within your organisation.

If you answer 'Yes' to any of the questions below, the Compliance and Risk 101 course is suitable for you:

- Are you new to a role that involves compliance or risk responsibilities or accountabilities?
- Are you new to a role that involves compliance or risk responsibilities?
Do you work closely with the second line risk and compliance function and would you like to be able to undertake your responsibilities more effectively?
- Are you unsure of how the risk and/or compliance role fits within the organisation; how and who it reports to; and how it works with other roles?
- Would you like to understand the ISO standard for compliance management (ISO 37301) and the ISO standard for risk management (ISO 31000) and how to use them in practice?
- Are you looking to gain a better understanding of the terminology used in risk and compliance management?
- Are you an experienced human resources professional, auditor or lawyer that has found some aspects of risk and compliance added to your role and would like to understand more about what it means?

LEARNING OUTCOMES & REGISTRATION

WHAT YOU'LL LEARN IN THIS PROGRAM:

- The role of the compliance officer: responsibilities, relationships and fit in an organisation
- The ISO 37301 standard for compliance management systems: what it is and how to use it
- Legal issues for compliance professionals: when to get advice and what to do with it
- Understanding corporate governance: how its quality can affect an organisation
- The role of risk management: How it can work in organisations
- The ISO 31000: what it is and how to use it
- Understanding terminology and references used in risk management
- Reporting and risk management: who, what, when, how.

Apart from the learning outcomes, also valuable is the opportunity to form networks with other professionals during the course and hear and learn from a wide variety of participants and their experiences in a diverse range of sectors.

FLEXIBLE LEARNING OPTIONS:

The 1-day face to face course is conveniently split into two separately bookable half-day sessions comprising of Compliance 101 the morning and Risk 101 in the afternoon or, if undertaken via livestream, each half day would be run in the morning from 9 am - 1 pm on two consecutive days. Upcoming course dates and locations can be found on the ACI website at www.compliance.org.au or email admin@compliance.org.au.

FEES (INC. GST) ACI MEMBERS

LIVESTREAM:

Compliance & Risk 101: AUD \$1,045

Compliance OR Risk 101: AUD \$522.50

FACE TO FACE:

Compliance & Risk 101: AUD \$1,320

Compliance OR Risk 101: AUD \$660

FEES (INC. GST) NON MEMBERS

LIVESTREAM

Compliance & Risk 101: AUD \$1,705

Compliance OR Risk 101: AUD \$852.50

FACE TO FACE:

Compliance & Risk 101: AUD \$2,046

Compliance OR Risk 101: AUD \$990

